

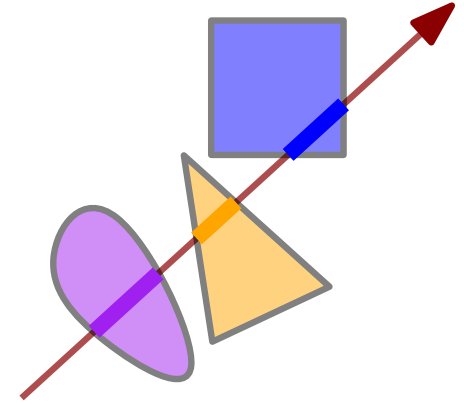
# Forbidden Patterns in Geometric Permutations by Combinatorial Lifting

Xavier Goaoc, Andreas Holmsen, Cyril Nicaud

$n$  disjoint compact convex sets in  $\mathbb{R}^d$

oriented line that intersects every set

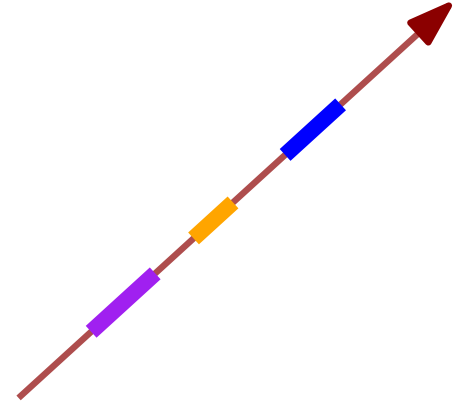
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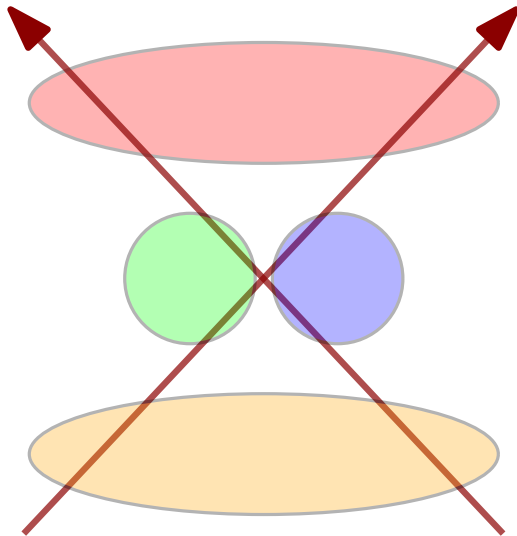
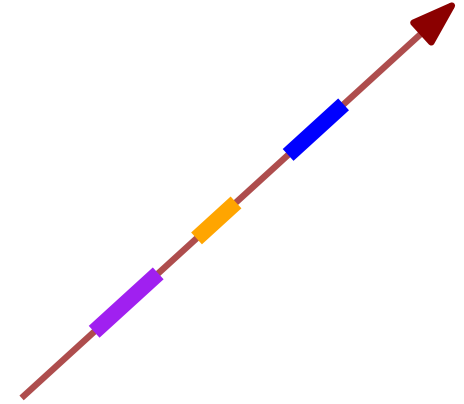


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What is the **maximum number**  $g_d(n)$  of permutations realizable on  $n$  sets in  $\mathbb{R}^d$ ?

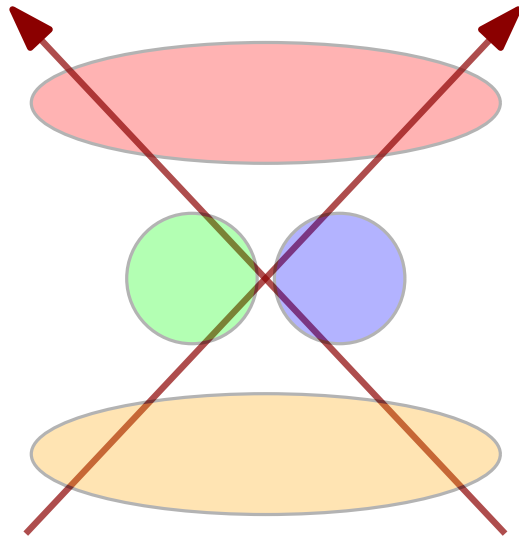
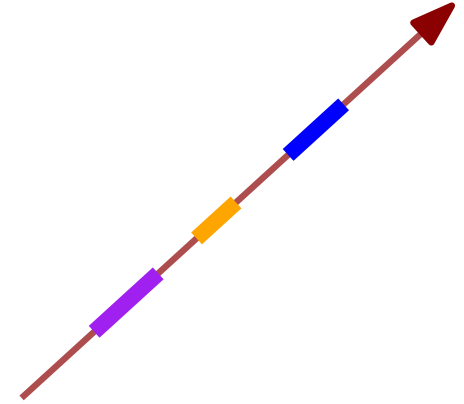


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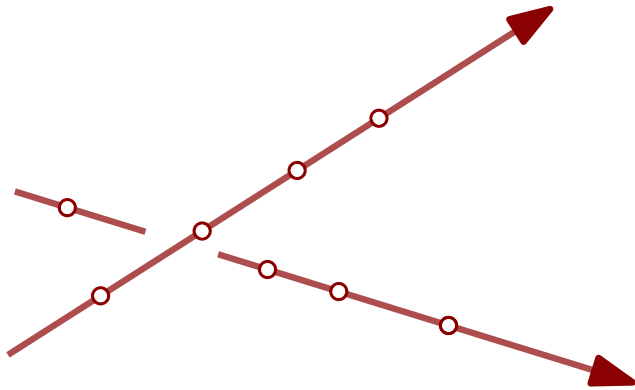
$$g_2(n) = 2n - 2 \text{ [1992]}$$

$$g_d(n) = O(n^{2d-2}) \text{ [1992]}$$

$$g_d(n) = \Omega(n^{d-1}) \text{ [2002]}$$

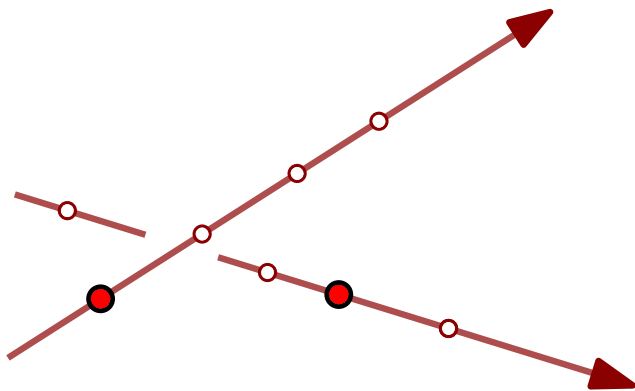
$$g_d(n) = O(n^{2d-3} \log n) \text{ [2010]}$$

special cases are understood: balls, fatness, ...



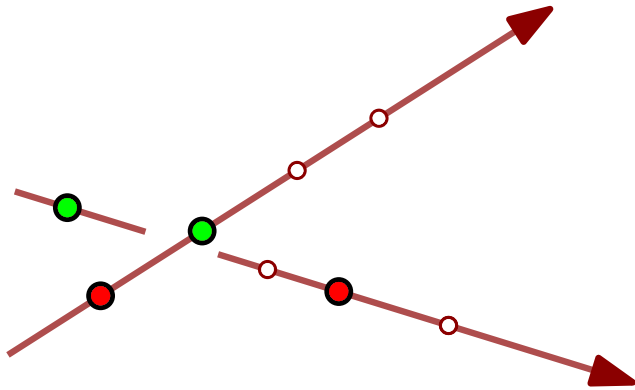
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Simplest non-trivial constraints



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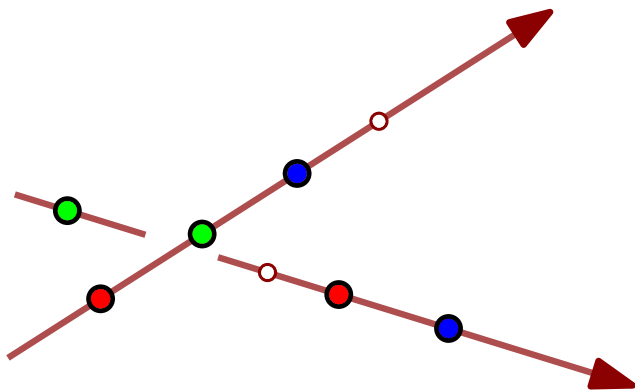
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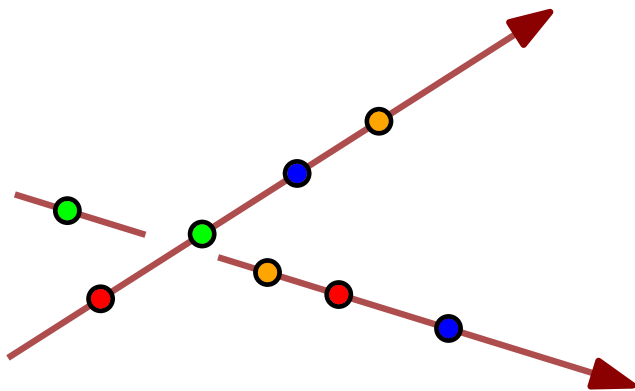
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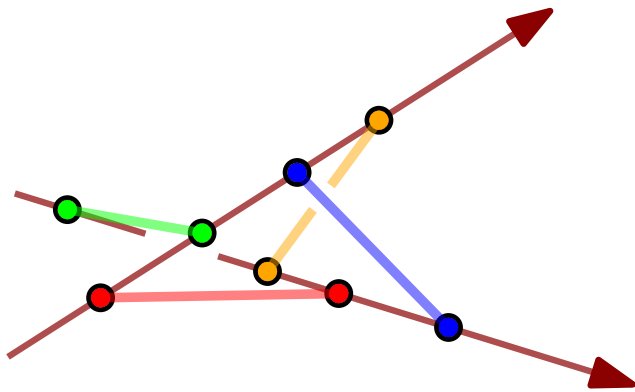
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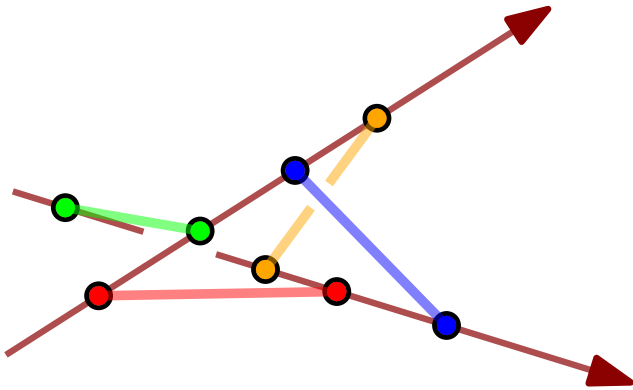
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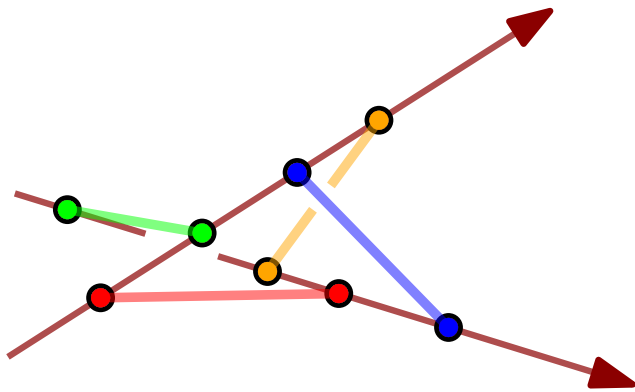


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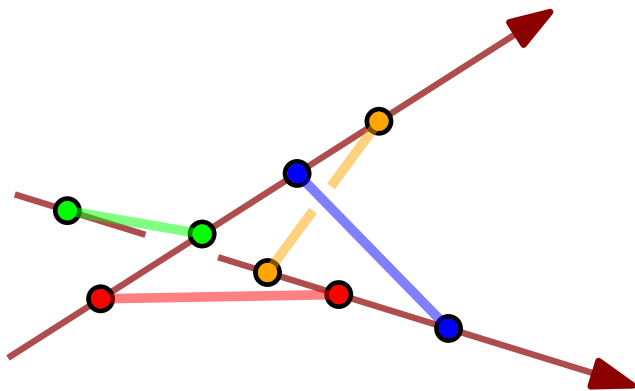
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Experimental results:

**Theorem.** Every triple of permutations on  $n \leq 5$  elements is geometrically realizable in  $\mathbb{R}^3$ .



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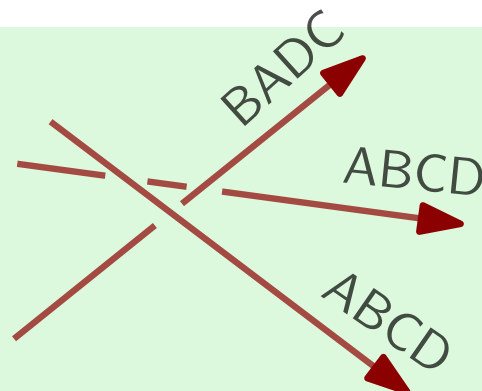
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**Theorem.**



is impossible.

The computational problem

## GEOMETRIC\_REALISABILITY\_3D

Input: Three orders on  $\{1, 2, \dots, n\}$

Output: Whether there exists  $n$  disjoint compact convex sets in  $\mathbb{R}^3$  and three lines intersecting them in these orders.

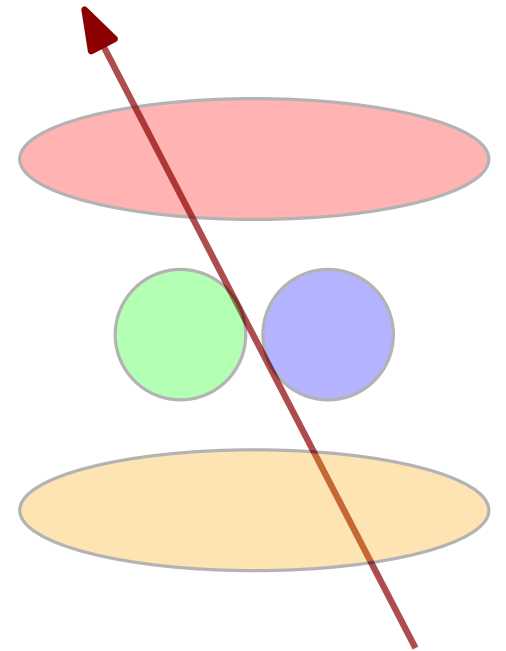


From geometry to algebra

# GEOMETRIC NORMALIZATION

Start with 3 lines and  $n$  disjoint compact convex sets realizing 3 given orders.

We can assume that the lines are **pairwise skew**.



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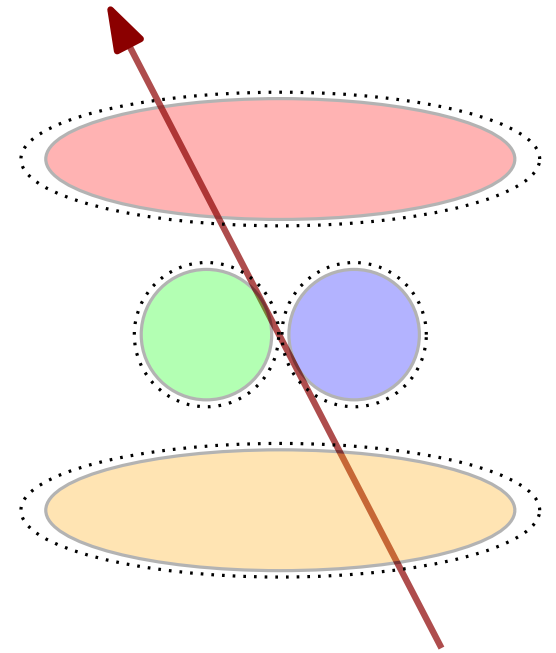
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⇒ we can thicken the sets

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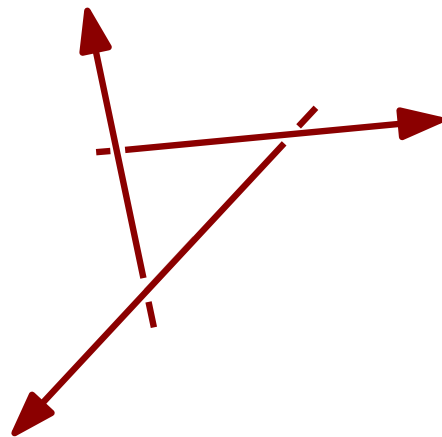
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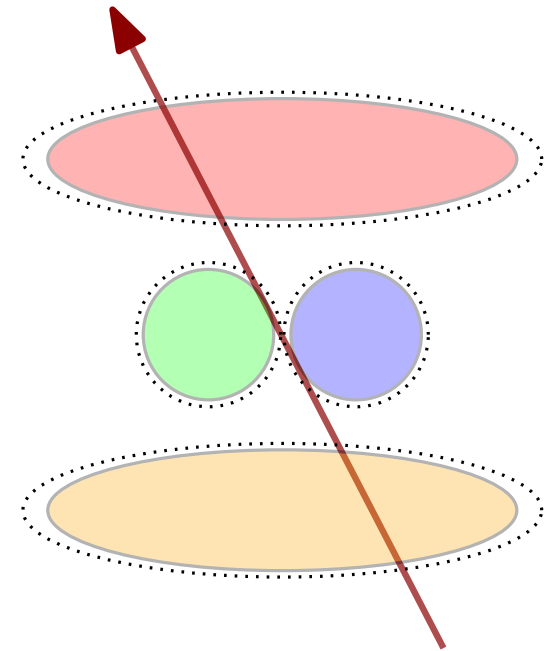
$\Rightarrow$  we can perturb the lines

$$\begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} + \mathbb{R} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}$$



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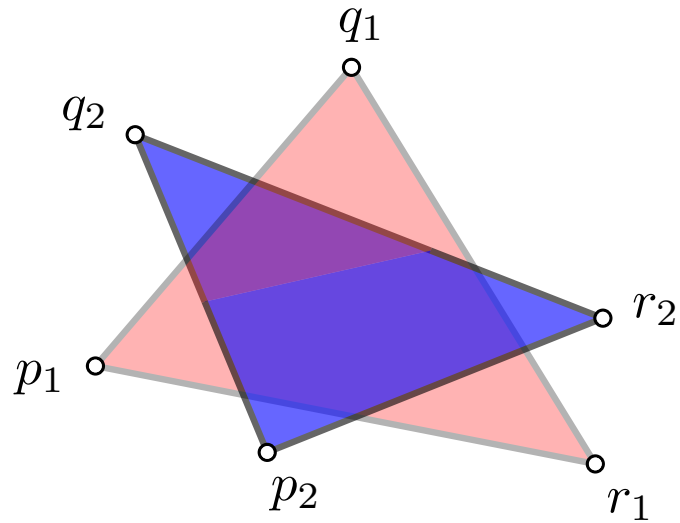
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We can crop the sets to **triangles** with vertices **on the lines**.

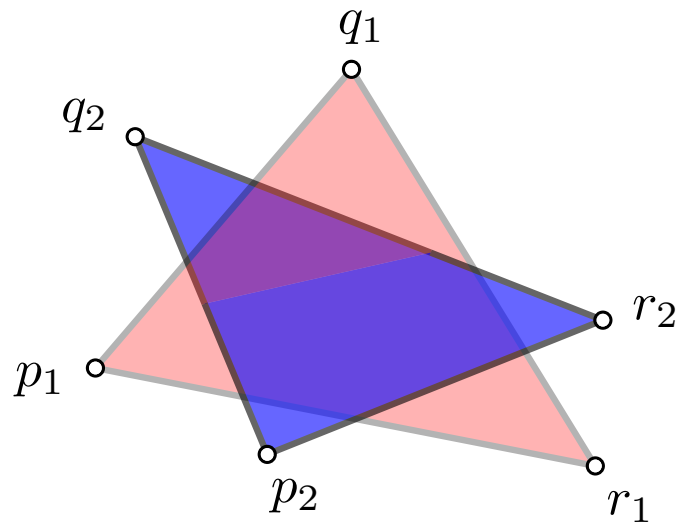
We can **choose** the lines (up to reversing some permutations).

# GUIGUE-DEVILLERS ALGORITHM



$$[p, q, r, s] \stackrel{\text{def}}{=} \text{sign} \begin{vmatrix} x_p & x_q & x_r & x_s \\ y_p & y_q & y_r & y_s \\ z_p & z_q & z_r & z_s \\ 1 & 1 & 1 & 1 \end{vmatrix}$$

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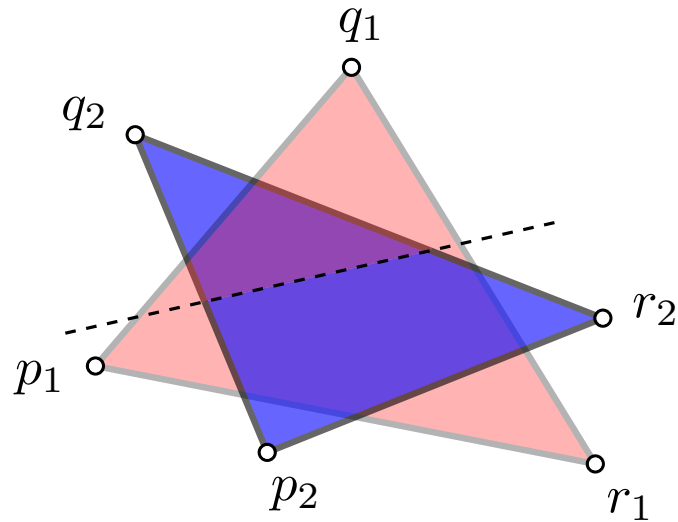
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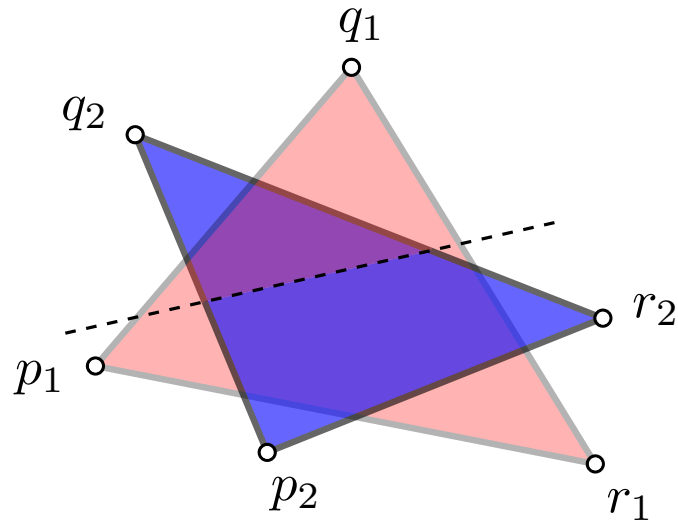
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rename  $p, q, r$  into  $a, b, c$  so that  $a$  is separated from  $\{b, c\}$

$$[a_1, b_1, a_2, b_2] = +1 \text{ or } [a_1, c_1, c_2, a_2] = +1$$

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Parameterize **candidate realizations** by  $\mathbb{R}^{3n}$

$i$ th triangle = convex hull of  $\begin{pmatrix} x_i \\ 1 \\ 0 \end{pmatrix}$ ,  $\begin{pmatrix} 0 \\ y_i \\ 1 \end{pmatrix}$  and  $\begin{pmatrix} 1 \\ 0 \\ z_i \end{pmatrix}$ .

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## TESTING EMPTINESS OF A SEMI-ALGEBRAIC SET

CAD, critical points method, ...

(number of polynomials  $\times$  maximum degree) <sup>$O(\text{number of variables})$</sup>

From algebra to combinatorics

## INSPIRATION: VERONESE LIFTING

A trick to **linearize** problems on polynomials of degree  $\leq k$  in  $\mathbb{R}^d$

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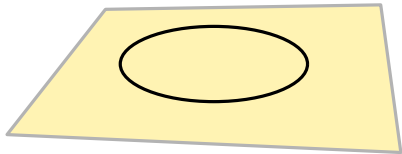
$$\mapsto (x_1, x_2, \dots, x_d, x_1^2, x_1x_2, x_1x_3, \dots, x_d^2, x_1^3, \dots, x_d^k) \in \mathbb{R}^{\binom{d+k}{d}}$$

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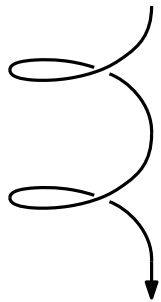
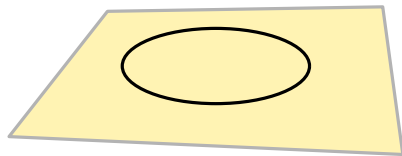
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$$\mathcal{M}_2 \subset \mathbb{R}^6$$

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**Theorem.** Any  $\binom{d+k}{d}$  finite measures in  $\mathbb{R}^d$  can be simultaneously bisected by the zero set of a polynomial of degree  $k$ .

# FACTORIZING THE $\triangle\triangle$ PREDICATES

$$X_i = \begin{pmatrix} x_i \\ 1 \\ 0 \end{pmatrix}, Y_i = \begin{pmatrix} 0 \\ y_i \\ 1 \end{pmatrix} \text{ and } Z_i = \begin{pmatrix} 1 \\ 0 \\ z_i \end{pmatrix}$$

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$[X_a, X_b, Y_c, Z_d]$	$(x_a - x_b)(y_c z_d - z_d + 1)$
$[X_a, Y_b, Y_c, Z_d]$	$(y_b - y_c)(x_a - x_a z_d - 1)$
$[X_a, Y_b, Z_c, Z_d]$	$(z_c - z_d)(x_a y_b + 1 - y_b)$



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$[X_a, X_b, Y_c, Z_d]$	$(x_a - x_b)(y_c z_d - z_d + 1)$	$(x_a - x_b)(y_c - 1) \left( z_d - \frac{1}{1-y_c} \right)$
$[X_a, Y_b, Y_c, Z_d]$	$(y_b - y_c)(x_a - x_a z_d - 1)$	$-(y_b - y_c)(z_d - 1) \left( x_a - \frac{1}{1-z_d} \right)$
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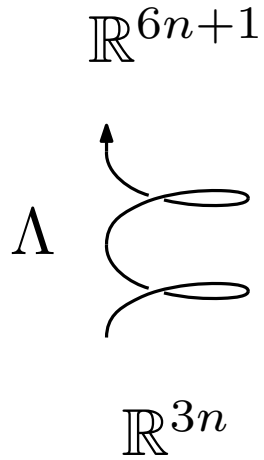
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$$f(t) \stackrel{\text{def}}{=} \frac{1}{1-t}$$

The order on  
 $\{x_1, f(x_1), x_2, f(x_2), \dots, z_n, f(z_n), \mathbf{1}\}$   
determines all orientations.

# COMBINATORIAL LIFTING



$c = (x_1, x_2, \dots, z_n)$  a configuration

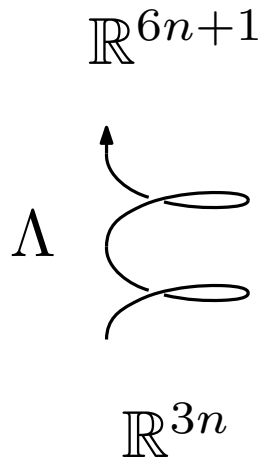
$$\Lambda(c) = (x_1, f(x_1), x_2, f(x_2), \dots, z_n, f(z_n), 1)$$

$$x_i \leftrightarrow t_{2i-1}, y_i \leftrightarrow t_{2n+2i-1}, \dots$$

$$[X_a, X_b, Y_c, Z_d] = (x_a - x_b)(y_c - 1)(z_d - f(y_c))$$

$$\leftrightarrow (t_{2a-1} - t_{2b-1})(t_{2n+2c-1} - t_{6n+1})(t_{4n+2d-1} - t_{2n+2c})$$

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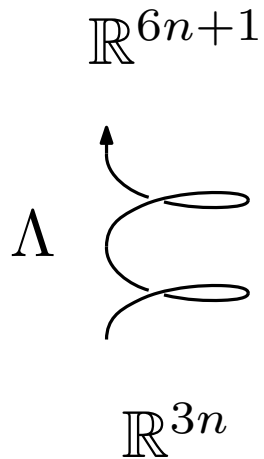
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 $\Lambda(c) = (x_1, f(x_1), x_2, f(x_2), \dots, z_n, f(z_n), 1)$   
 $x_i \leftrightarrow t_{2i-1}, y_i \leftrightarrow t_{2n+2i-1}, \dots$

$$[X_a, X_b, Y_c, Z_d] = (x_a - x_b)(y_c - 1)(z_d - f(y_c))$$

$$\leftrightarrow (t_{2a-1} - t_{2b-1})(t_{2n+2c-1} - t_{6n+1})(t_{4n+2d-1} - t_{2n+2c})$$

Find an order on  $(t_1, t_2, \dots, t_{6n+1})$  that **satisfies** the symbolic lifting of the boolean formula defining  $S$  and is **realizable** by some  $\Lambda(c)$ .

# COMBINATORIAL LIFTING



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The **realizability problem** becomes easy if we lift to  $(x_1, f(x_1), f^{(2)}(x_1), x_2, \dots, f^{(2)}(z_n), 0, 1)$

# COMBINATORIAL LIFTING

Remember:  $f(t) \stackrel{\text{def}}{=} \frac{1}{1-t}$

$f$  has nice properties:

1.  $f^{(3)} = f \circ f \circ f = \text{id}$
2.  $f$  permutes circularly  $(-\infty, 0)$ ,  $(0, 1)$  and  $(1, +\infty)$
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↑  
"the action of  $f$ "



# Solving the combinatorial problem

Start with  $3n$  **geometric** variables.

$\{x_i\}$ ,  $\{y_i\}$ ,  $\{z_i\}$ , each family ordered by an input permutation

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We then refine  $\mathcal{P}$  into  $\mathcal{P}_1, \mathcal{P}_2, \dots$  such that for each  $\mathcal{P}_i$ ,  
**all or none** linear extension is a solution order.

Refine/split  $\mathcal{P}_i$  one pair  
of triangle at a time:

1st stage:

$$\begin{pmatrix} [X_1, Y_1, Z_1, X_2] \\ \dots \\ [X_2, Y_2, Z_2, Z_1] \end{pmatrix}$$



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Are the triangles  
disjoint?

yes  
←

Does  $\mathcal{P}_i$  determine all  
orientations?

Refine/split  $\mathcal{P}_i$  one pair  
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Discard  $\mathcal{P}_i$

no

Are the triangles  
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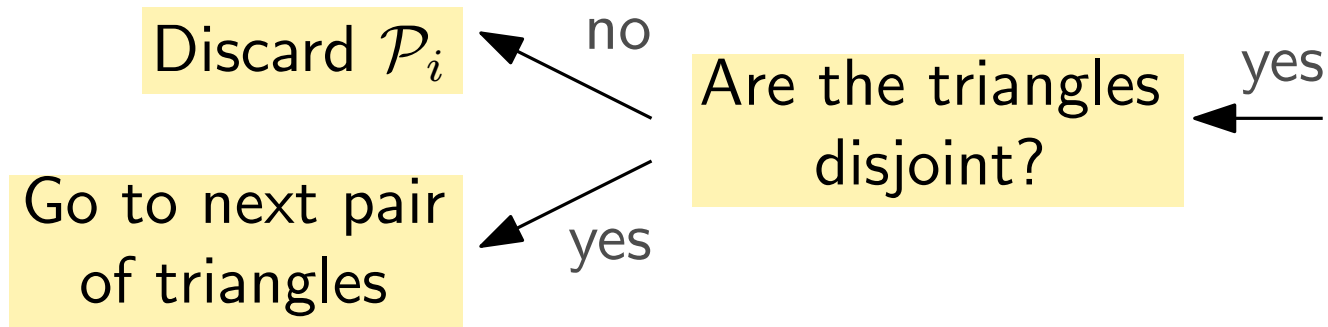
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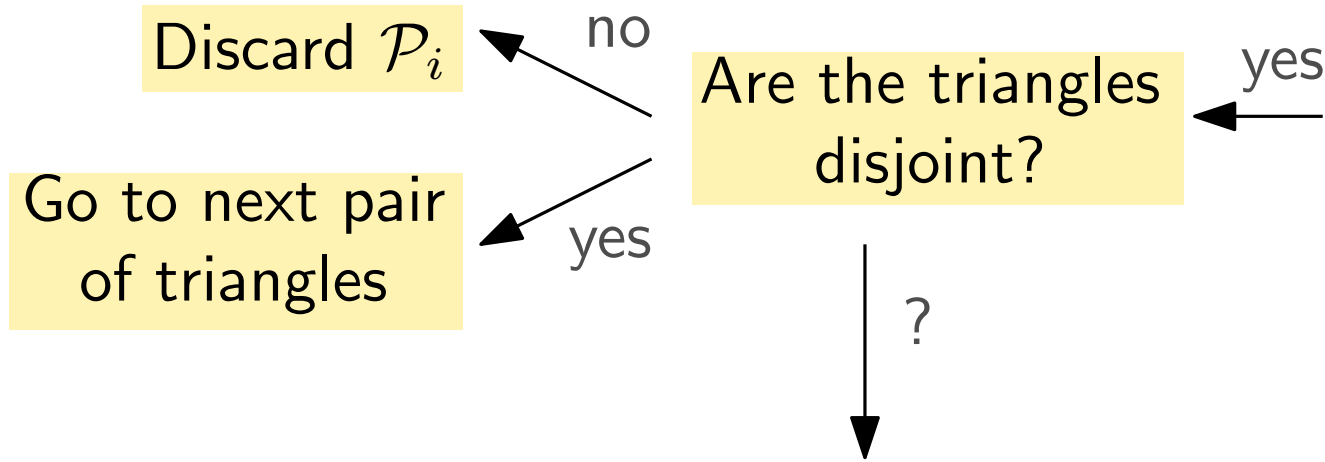


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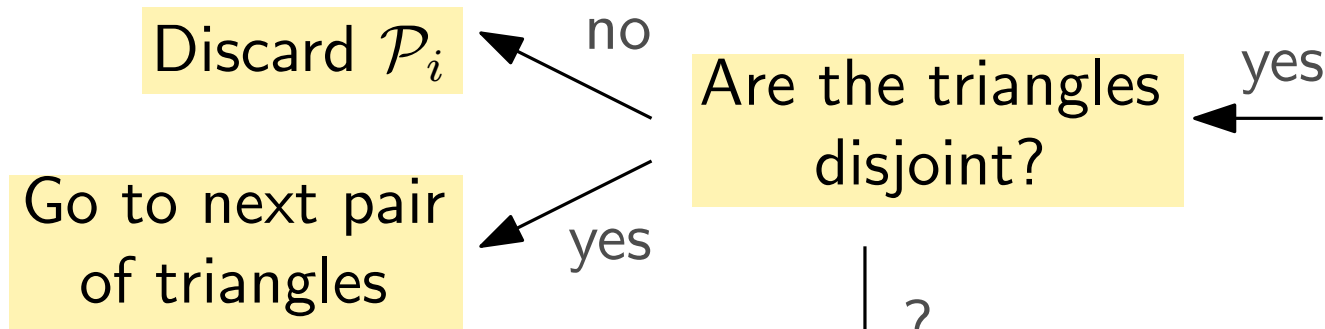
2nd stage: detect intersection (discard  $\mathcal{P}_i$ ) or get one new **forced** comparison,

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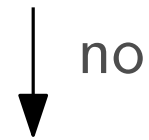


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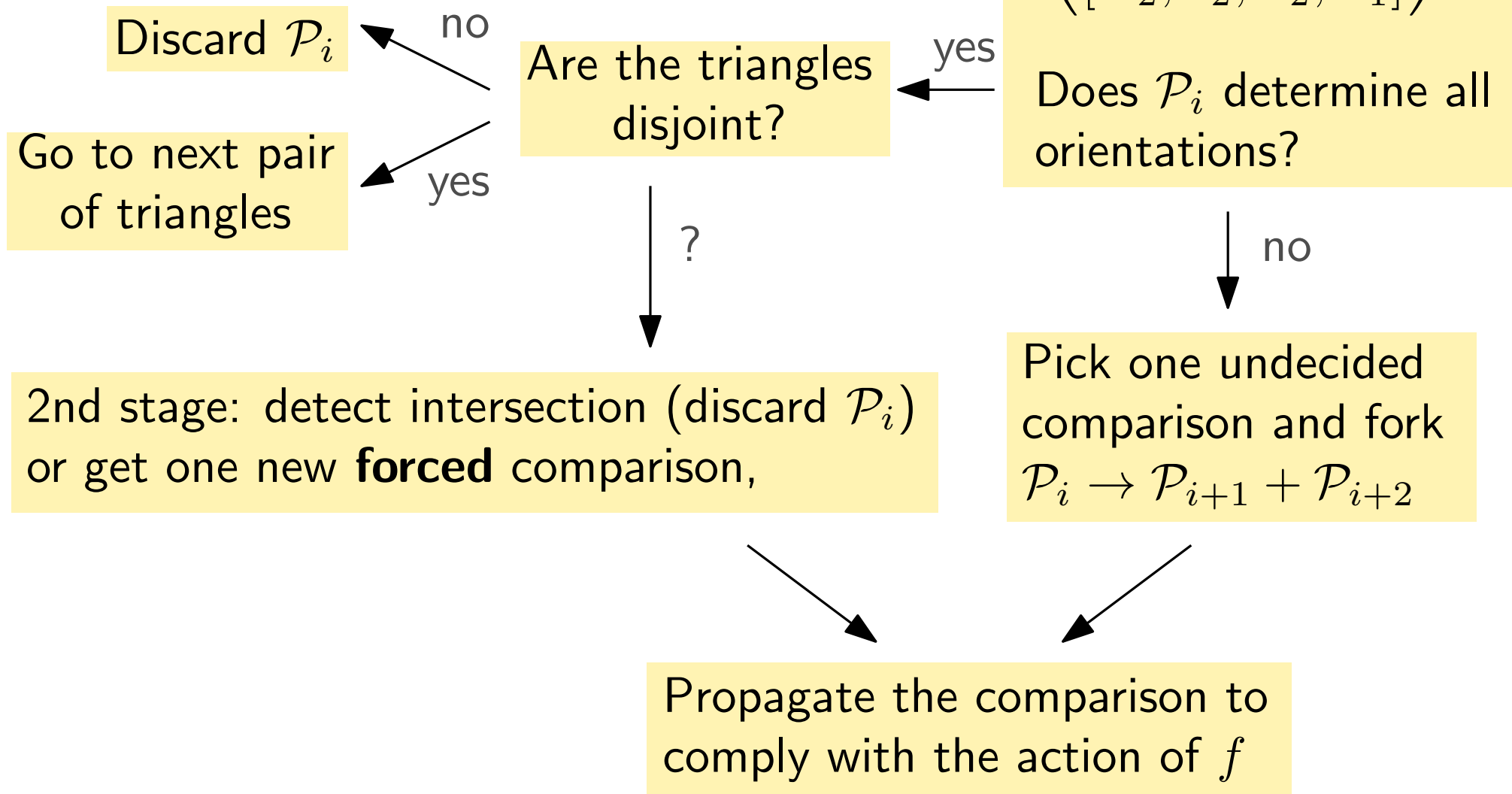
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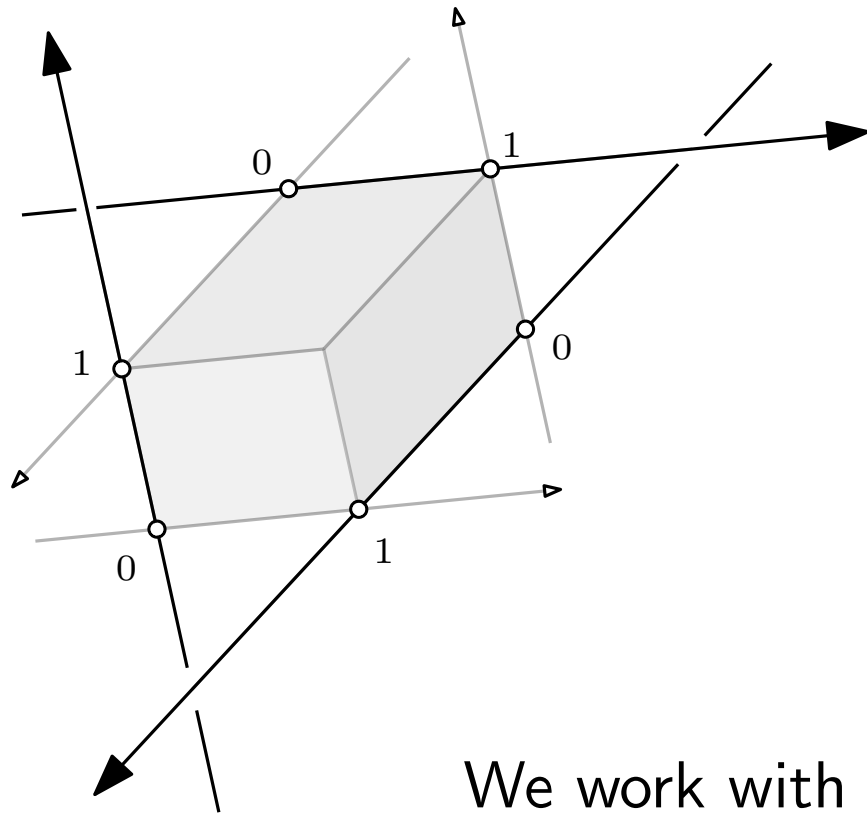
Pick one undecided comparison and fork  $\mathcal{P}_i \rightarrow \mathcal{P}_{i+1} + \mathcal{P}_{i+2}$

Refine/split  $\mathcal{P}_i$  one pair of triangle at a time:



Using the implementation





"Insert 0, 1 in each ordered family  $\{x_i\}, \{y_i\}, \{z_i\}$ "

has a geometric meaning...

We work with permutations **tagged** with 0 and 1.

We compute the **minimally forbidden** triples of tagged permutations of size 2 to 6.

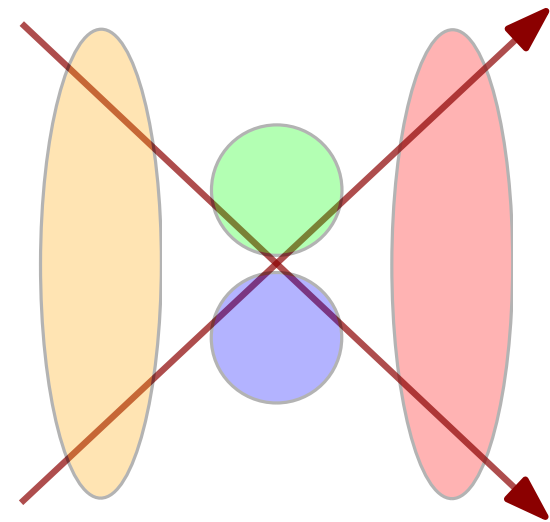
Size 2: equivalent to a lemma of [\[Asinowski-Katchalski 2005\]](#)

Size 5 and 6: none

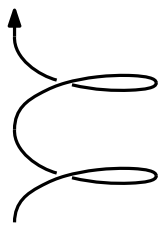
To summarize

A "hard nut" in discrete geometry.

Standard reduction to the emptiness of a semi-algebraic set.



$\mathbb{R}^{9n+2}$



$\mathbb{R}^{3n}$

Unexpected factorization through a function  $f$  with nice properties.

Allows to test emptiness **combinatorially**.

New geometric results  
+ reveals some useful structure

